

# Universal Adversarial Perturbations

**Presenters:**  
**Aleenah Khan**  
**Kyle Rebello**

# About the paper

- Authors:
  - Seyed-Mohsen Moosavi-Dezfooli
  - Alhussein Fawzi
  - Omar Fawzi
  - Pascal Frossard
- It was published in **CVPR 2017**.
- It has **1172 Citations**.
- Link:
  - [“Deepfool: a simple and accurate method to fool deep neural networks,”](#)

# Outline

- **Motivation**
- **Definition**
- **Contributions of the Paper**
- **Universal Perturbation**
- **Universal Perturbations for Deep Nets**
  - **Cross-model Universality**
  - **Visualization**
  - **Fine-tuning**
- **Conclusion**
  - **For**
  - **Against**

# Motivation

Can we find a *single* small image perturbation that fools a state-of-the-art deep neural network classifier on all natural images?

**YES!**

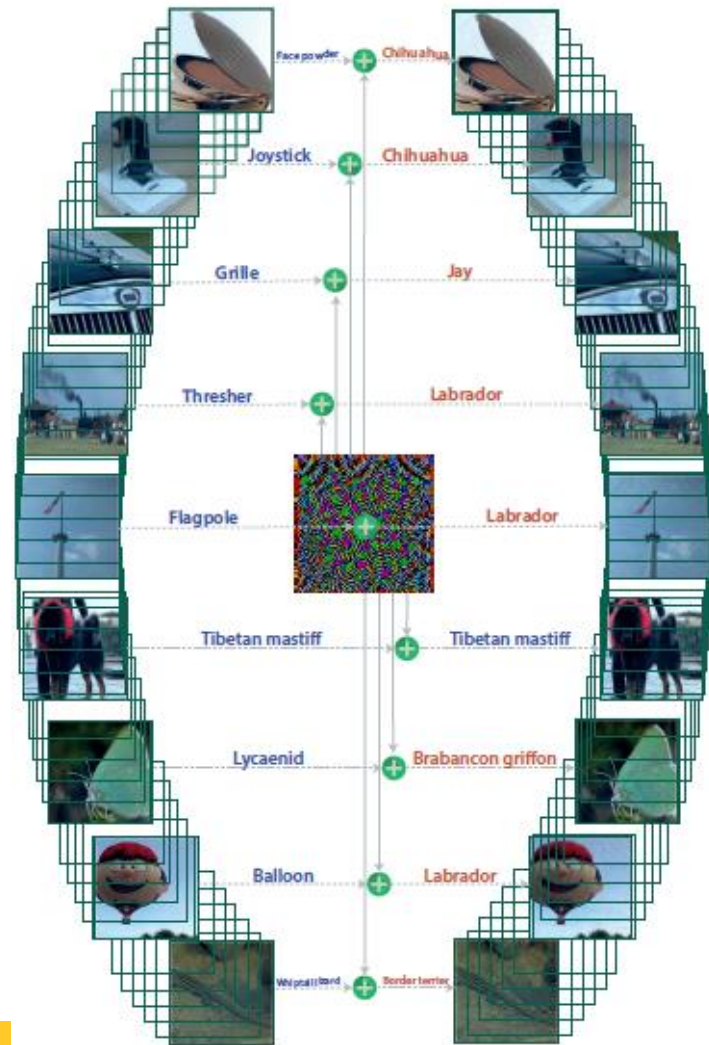
***Universal* perturbation vectors exist!**

Adding such a perturbation to natural images can fool the deep neural network to misclassify images with high probability.

# Definition

These perturbations are:

- Universal/ Image-agnostic
- Quasi-imperceptible



# Contributions

- Existence of universal image-agnostic perturbations for state-of-the-art deep neural networks.
- Algorithm for finding universal perturbations.
- Proof for the generalization property across images.
- Proof for generalization across deep neural networks.
- Analysis of the high vulnerability of deep neural networks to universal perturbations
  - Geometric correlation between different parts of the decision boundary.

} **Doubly Universal**

# Universal Perturbations

- Seek vector such that

$$\hat{k}(x + v) \neq \hat{k}(x) \text{ for “most” } x \sim \mu.$$

- $\mu$  = distribution of images
- $\hat{k}$  = classification function
- $v$  = perturbed vector

# Conditions

- Vector should satisfy:

1.  $\|v\|_p \leq \xi,$

2.  $\mathbb{P}_{x \sim \mu} \left( \hat{k}(x + v) \neq \hat{k}(x) \right) \geq 1 - \delta.$

- $\xi$  Controls magnitude of perturbation vector
- $\delta$  Quantifies desired fooling rate



# Algorithm

- 1: **input:** Data points  $X$ , classifier  $\hat{k}$ , desired  $\ell_p$  norm of the perturbation  $\xi$ , desired accuracy on perturbed samples  $\delta$ .
- 2: **output:** Universal perturbation vector  $v$ .
- 3: Initialize  $v \leftarrow 0$ .
- 4: **while**  $\text{Err}(X_v) \leq 1 - \delta$  **do**
- 5:     **for** each datapoint  $x_i \in X$  **do**
- 6:         **if**  $\hat{k}(x_i + v) = \hat{k}(x_i)$  **then**
- 7:             Compute the *minimal* perturbation that sends  $x_i + v$  to the decision boundary:

$$\Delta v_i \leftarrow \arg \min_r \|r\|_2 \text{ s.t. } \hat{k}(x_i + v + r) \neq \hat{k}(x_i).$$

- 8:             Update the perturbation:

$$v \leftarrow \mathcal{P}_{p,\xi}(v + \Delta v_i).$$

- 9:             **end if**
- 10:         **end for**
- 11:     **end while**

# Projecting Universal Perturbation

- **Projection operator**

$$\mathcal{P}_{p,\xi}(v) = \arg \min_{v'} \|v - v'\|_2 \text{ subject to } \|v'\|_p \leq \xi$$

- **Then update vector**

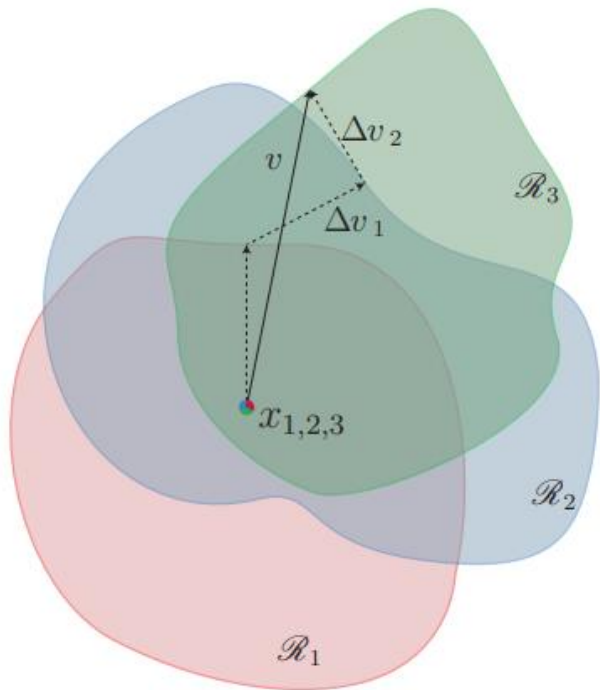
$$v \leftarrow \mathcal{P}_{p,\xi}(v + \Delta v_i)$$

- **Perform iterations until**

$$\text{Err}(X_v) := \frac{1}{m} \sum_{i=1}^m 1_{\hat{k}(x_i+v) \neq \hat{k}(x_i)} \geq 1 - \delta$$

- **Where m is the number of datapoints to use from entire dataset**
- **m can be small and still compute an effective universal perturbation**

# Universal Perturbation Visualization



- $\mathcal{R}_i$  = classification region
- $\Delta v_i$  = minimal perturbation to move point outside of  $\mathcal{R}_i$
- $v$  = universal perturbation vector

# Universal Perturbations for Deep Nets

- **Experiment details:**
  - **Estimated universal perturbations for following neural networks:**
    - CaffeNet, VGG-F, VGG-16, VGG-19, GoogLeNet, ResNet-152
  - **ILSVRC 2012 validation set**
    - 50,000 images
    - set X contains 10,000 images (i.e., in average 10 images per class)
  - **Results are reported for:**
    - $p = 2$  and  $p = \infty$ , where  $\xi = 2000$  and  $\xi = 10$  respectively.

# Experimental Results

- Results reported on:
  - set X (used to compute the universal perturbation)
  - validation set (not used to compute the universal perturbation)

		CaffeNet [9]	VGG-F [3]	VGG-16 [18]	VGG-19 [18]	GoogLeNet [19]	ResNet-152 [7]
$\ell_2$	X	85.4%	85.9%	90.7%	86.9%	82.9%	89.7%
	Val.	85.6%	87.0%	90.3%	84.5%	82.0%	88.5%
$\ell_\infty$	X	93.1%	93.8%	78.5%	77.8%	80.8%	85.4%
	Val.	93.3%	93.7%	78.3%	77.8%	78.9%	84.0%

# Proof of Quasi-Imperceptibility

- Visual examples using the GoogLeNet architecture
- Images belong to:
  - ILSVRC 2012 Validation Set
  - Mobile Phone Camera



# Visualization

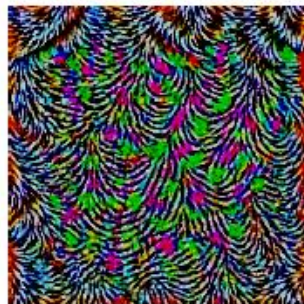
- Visualization of universal perturbations for different networks.
- These images are generated with  $p = \infty$  and  $\xi = 10$ .



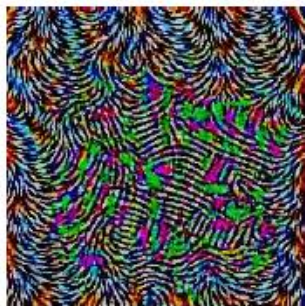
(a) CaffeNet



(b) VGG-F



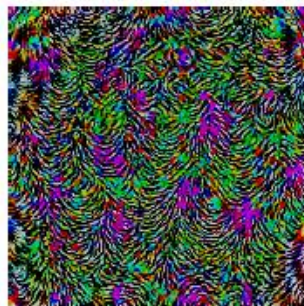
(c) VGG-16



(d) VGG-19



(e) GoogLeNet



(f) ResNet-152





# Visualization

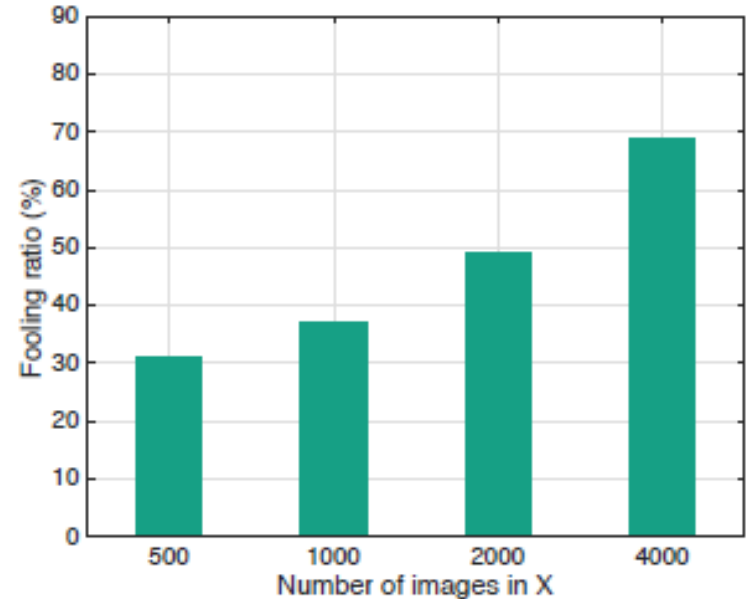
- Universal perturbations are not unique.
- Diverse universal perturbations for the GoogLeNet architecture.
- Generated using different random shufflings of the set X.
- Normalized inner products for any pair of universal perturbations does not exceed 0.1.





# Effect of size of X on Quality

- If  $X = 500$  images, more than 30% of the images can be fooled.
- This result is significant because the number of classes in ImageNet are 1000.
- A large set of unseen images can be fooled, even when set X contains **less than one image per class!**



# Cross-Model Universality

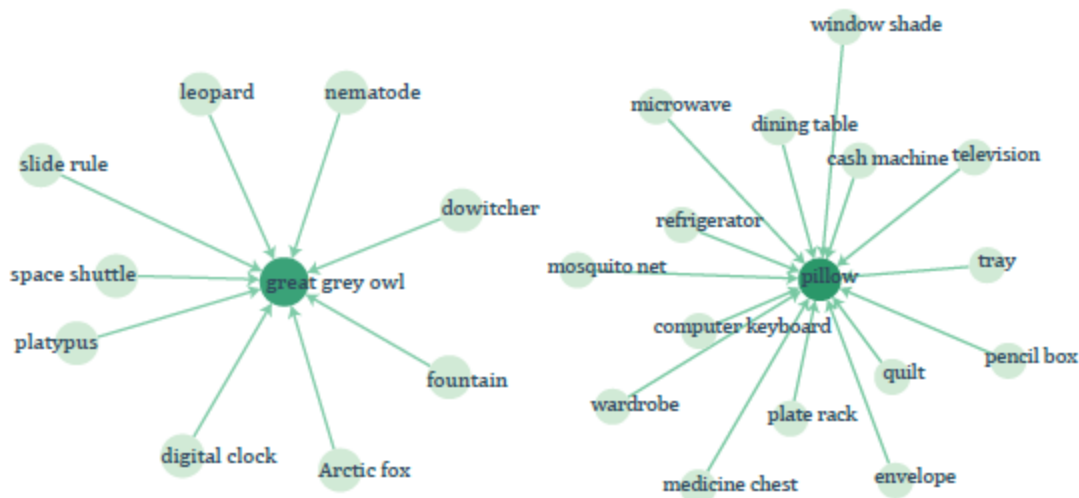
- Universal perturbations computed for the VGG-19 network have a fooling ratio above 53% for all other tested architectures.
- For some architectures, the universal perturbations generalize very well across other architectures.

	VGG-F	CaffeNet	GoogLeNet	VGG-16	VGG-19	ResNet-152
VGG-F	93.7%	71.8%	48.4%	42.1%	42.1%	47.4 %
CaffeNet	74.0%	93.3%	47.7%	39.9%	39.9%	48.0%
GoogLeNet	46.2%	43.8%	78.9%	39.2%	39.8%	45.5%
VGG-16	63.4%	55.8%	56.5%	78.3%	73.1%	63.4%
VGG-19	64.0%	57.2%	53.6%	73.5%	77.8%	58.0%
ResNet-152	46.3%	46.3%	50.5%	47.0%	45.5%	84.0%

# Visualization of the effect of Universal Perturbations

- A directed graph  $G = (V, E)$ 
  - vertices = labels
  - directed edges  $e = (i \rightarrow j)$  images of class  $i$  are fooled into label  $j$

- Union of disjoint components.
- Connected Components.
- Existence of Dominant Labels.



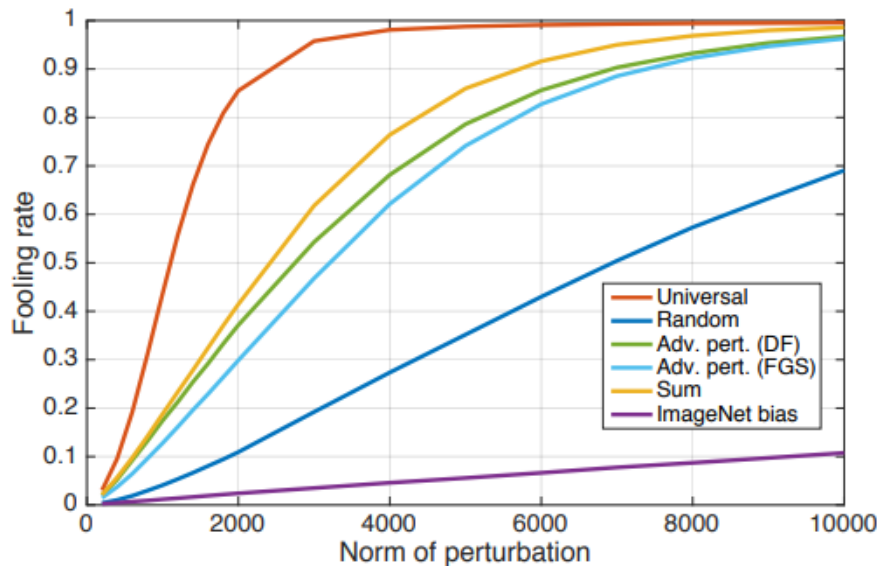
# Fine-tuning with universal perturbations.

- Fine-tuned the VGG-F architecture by modifying training set.
- For each training point, a universal perturbation is added with probability 0.5.
- Pre-compute a pool of 10 different universal perturbations and picked randomly from this pool.
- Trained 5 extra epochs on the modified training set.

# Attacking the Fine-tuned Network

- Computed a new universal perturbation for the fine-tuned network (with  $p = \infty$  and  $\xi = 10$ ).
- After 5 extra epochs, the fooling rate on the validation set is 76.2%,
  - Originally it was 93.7%.
- Repeated the procedure
  - Obtained a new fooling ratio of **80.0%**.
- The repetition of this procedure for a fixed number of times does not yield any improvement over 76.2%.
- **Fine-tuning leads to a mild improvement in the robustness, it does not fully immune against universal perturbations.**

# Perturbation Comparison



- **Universal perturbation reaches high fooling rate quickly**

	Algorithm 1	Random Vectors
Fooling Rate	85%	10%

- **Suggests universal perturbation exploits geometric correlations of classifiers decision boundary**

# Random vs Universal Perturbation

- Norm of random perturbation:

$$\Theta(\sqrt{d}\|r\|_2)$$

- $d$  = dimension of input space
- $\|r\|_2$  = distance between data point and boundary
- For ImageNet classification task:

$$\sqrt{d}\|r\|_2 \approx 2 \times 10^4$$

- Where universal perturbation equals just 2000

# Capturing Decision Boundary Geometry

- For each image in validation set compute:

$$r(x) = \arg \min_r \|r\|_2 \text{ s.t. } \hat{k}(x + r) \neq \hat{k}(x)$$

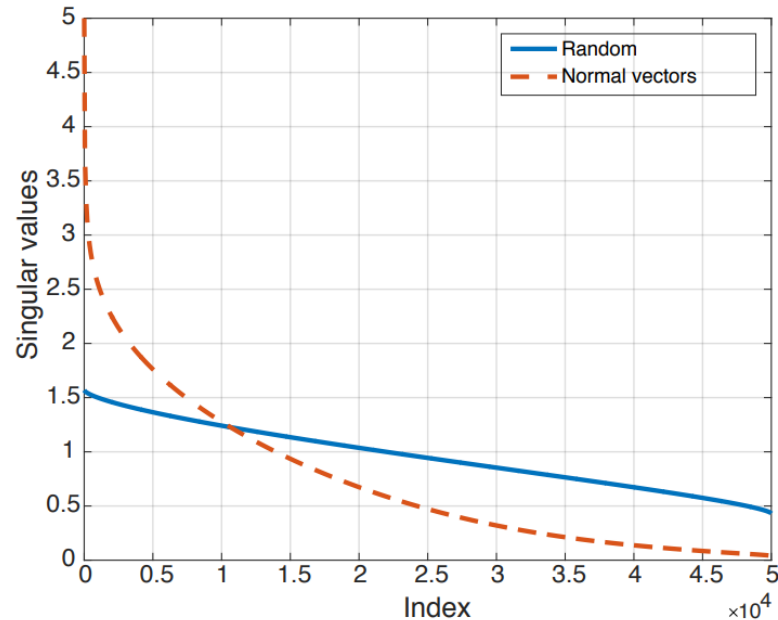
- To quantify correlation between different regions:

$$N = \left[ \frac{r(x_1)}{\|r(x_1)\|_2} \cdots \frac{r(x_n)}{\|r(x_n)\|_2} \right]$$



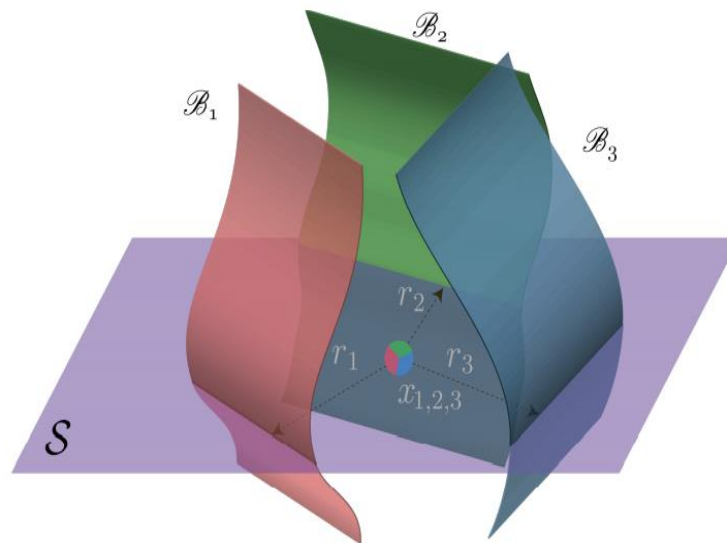
# Capturing Decision Boundary Correlations

- The singular values of matrix  $N$  are computed
- The singular values of columns sampled uniformly and randomly from  $N$  are also computed
- Singular values from normal vectors decay quickly
- Singular values from random vectors decay slowly



# Low Dimension Subspace Hypothesis

- $\mathcal{S}$  = low dimension subspace
- $x_i$  = data point
- $r_i$  = adversarial perturbation
- $\mathcal{B}_i$  = decision boundary



# Low Dimension Subspace Verification

- Random vector of norm 2000 belonging to subspace  $S$ .
- Fooling ratio in well-sought subspace computed at 38%.
- Compared to 10% when doing random perturbations.
- This also helps explain why the universal perturbation generalizes well.

# Conclusion

- **Showed the existence of small universal perturbations that can fool state-of-the-art classifiers on natural images.**
- **Proposed an iterative algorithm to generate universal perturbations.**
- **Highlighted several properties of universal perturbations.**
  - **Image-agnostic**
  - **Network-agnostic**
- **Explained the existence of universal perturbations with the correlation between different regions of the decision boundary.**
- **Provided insights on the geometry of the decision boundaries of deep neural networks.**

# For

- This algorithm is able to generate a universal perturbation with a small sample of the data.
- Finding the subspace that allows the universal perturbation to be so effective.
- Finding geometric correlations between different parts of the decision boundary.
- The universal perturbation is image-agnostic and network-agnostic.

# Against

- Used only a single dataset of natural images ImageNet for all experiments.
- The proposed method is expensive as it's iterative.
- Performed fine-tuning on just a single architecture VGG-F.
- Fine-tuning procedure helped improve the fooling rate to 76.2% only.
- Their hypothesis for dominant labels need to be investigated.





**Thank You**



**UCF**